

03 Sequence alignment

03.04 Special-purpose alignment algorithms

- Overlap matches
- Local alignment
- Repeated matches



Overlap matches

(allow ends to slide with no penalty)

- Align strings that either contain each other or overlap
- Similar to global alignment, but gaps at the two ends of each string are not penalized
 - Alignment can start from any cell in the top or left border of the matrix $F(0, j) = 0$
 $F(j, 0) = 0$
 - Alignment can end in any cell in the right or bottom border
- Recursive equation is unchanged

$$S(X, Y) = \max \begin{cases} \max_i F(i, N) \\ \max_j F(M, j) \end{cases}$$

Overlap matches

(allow ends to slide with no penalty)

Example: BLOSUM50, linear gap penalty $d=8$

GAWGHEE
PAW-HEA

	H	E	A	G	A	W	G	H	E	E
P	0	-2	-1	-1	-2	-1	-4	-2	-2	-1
A	0	-2	-2	4	-1	3	-4	-4	-4	-3
W	0	-3	-5	-4	1	-4	18	10	2	6
H	0	10	2	6	-6	-1	10	16	20	12
E	0	2	16	8	0	7	2	8	16	26
A	0	-2	8	21	13	5	3	2	8	18
E	0	0	4	13	18	12	4	4	2	14
										24

Local alignment

[Smith-Waterman, 1981]

- Looks for best alignment between subsequences of X and Y
- There are two main differences with respect to Needleman-Wunsch:
 1. Negative scores are not allowed
$$F(i, j) = \max \begin{cases} F(i-1, j-1) + s(x_i, y_j) \\ F(i-1, j) - d \\ F(i, j-1) - d \\ 0 \end{cases}$$
 1. The score of the best local alignment is the highest score in the matrix (that can be anywhere)

Local alignment

[Smith-Waterman, 1981]

Example: BLOSUM50, linear gap penalty $d=8$

AWGHE
AW-HE

	H	E	A	G	A	W	G	H	E	E
P	0	0	0	0	0	0	0	0	0	0
A	0	0	0	5	0	5	0	0	0	0
W	0	0	0	0	2	0	20	12	0	0
H	0	10	2	0	0	0	12	18	22	14
E	0	2	16	8	0	0	4	10	18	28
A	0	0	8	21	13	5	0	4	10	20
E	0	0	0	13	18	12	4	0	4	16

Repeated matches (asymmetric)

- Sequence X contains a *domain*, or *motif*
- We look for multiple local matches of X in sequence Y , with score higher than a positive threshold T

$$F(0, j) = \max \begin{cases} F(0, j-1) \\ \max_i \{F(i, j-1)\} - T \end{cases}$$

$$F(i, j) = \max \begin{cases} F(i-1, j-1) + s(x_i, y_j) \\ F(i-1, j) - d \\ F(i, j-1) - d \\ F(0, j) \end{cases}$$

Repeated matches

HEAGAWGHEE

HEA . AW - HE .

Example: BLOSUM50, linear gap penalty $d=8$, $T=20$

	H	E	A	G	A	W	G	H	E	E	
0	0	0	0	1	1	1	1	1	3	9	9
P	0	0	0	0	1	1	1	1	3	9	
A	0	0	0	5	1	6	1	1	3	9	
W	0	0	0	0	2	1	21	13	5	3	9
H	0	10	2	0	1	1	13	19	23	15	9
E	0	2	16	8	1	1	5	11	19	29	21
A	0	0	8	21	13	6	1	5	11	21	28
E	0	0	0	13	18	12	4	1	5	17	27

Comparing alignments

Global alignment



Overlap



Local alignment



Repeated matches



03 Sequence alignment

03.05 Heuristic algorithms

- Problem statement
- BLAST
- FASTA



Heuristics

- The issue of performance
 - Dynamic programming requires $O(MN)$ steps
 - Data base size (e.g. TrMBL)
 - 314,641,655 amino acids in november 2003
 - Grows very fast
 - Query length: 300 a.a.
 - Complexity: 10^{11} cells
 - Computation time (at 10^7 cells per second): 3 hours per query
- Solution
 - Heuristic techniques trading off accuracy for performance
 - Return not-only the best match to compensate for inaccuracy



BLAST

[Altschul *et al.*, 1990]

*Use short high-score matching words as seeds
from which to extend the alignment*

- Create a table of short words (i.e., 3r, 11bp) that match the query with high score
- Scan the database searching for words in the table
- Extend the matching region (without gaps) stopping at the maximum scoring extension



FASTA

[Pearson and Lipman, 1988]

*Search for short high-score matching words
arranged on the same diagonal*

1. Use a lookup table to locate short matching words (i.e., 2r, 6bp)
2. Search for diagonals with many matching words (this can be done by sorting matches on the difference of their indices $i-j$)
3. Extend the matching seeds in the best diagonals (without gaps)
4. Use gaps to join ungapped regions

FASTA

[Pearson and Lipman, 1988]

Example: BLOSUM50, linear gap penalty $d=8$, $seed_size=1$, $min_score=6$

1. Locate seeds with over threshold score

	H	E	A	G	A	W	G	H	E	E
P	0	-2	-1	-1	-2	-1	-4	-2	-2	-1
A	0	-2	-1	5	0	5	-3	0	-2	-1
W	0	-3	-3	-3	-3	-3	15	-3	-3	-3
H	0	10	0	-2	-2	-2	-3	-2	10	0
E	0	0	6	-1	-3	-1	-3	-3	0	6 6
A	0	-2	-1	5	0	5	-3	0	-2	-1
E	0	0	6	-1	-3	-1	-3	-3	0	6 6

FASTA

[Pearson and Lipman, 1988]

Example: BLOSUM50, linear gap penalty $d=8$, $seed_size=1$, $min_score=6$

2. Find best diagonal with multiple supporting seeds

	H	E	A	G	A	W	G	H	E	E
P	0	-2	-1	-1	-2	-1	-4	-2	-2	-1
A	0	-2	-1	5	0	5	-3	0	-2	-1
W	0	-3	-3	-3	-3	-3	15	-3	-3	-3
H	0	10	0	-2	-2	-2	-3	-2	10	0
E	0	0	6	-1	-3	-1	-3	-3	0	6 6
A	0	-2	-1	5	0	5	-3	0	-2	-1
E	0	0	6	-1	-3	-1	-3	-3	0	6 6

Diagram illustrating the search for the best diagonal. Diagonal lines are drawn through the matrix, starting from the top-left and moving towards the bottom-right. The values along these diagonals are highlighted: the first diagonal has a value of 10; the second has a value of 6; the third has a value of 15; the fourth has a value of 10; the fifth has a value of 6; and the sixth has a value of 6. The total score for the best diagonal is 21.

FASTA

[Pearson and Lipman, 1988]

Example: BLOSUM50, linear gap penalty $d=8$, $seed_size=1$, $min_score=6$

3. Maximum ungapped extension

		H	E	A	G	A	W	G	H	E	E
	0	0	0	0	0	0	0	0	0	0	0
P	0	-2	-1	-1	-2	-1	-4	-2	-2	-1	-1
A	0	-2	-1	5	0	5	-3	0	-2	-1	-1
W	0	-3	-3	-3	-3	-3	15	-3	-3	-3	-3
H	0	10	0	-2	-2	-2	-3	-2	10	0	0
E	0	0	6	-1	-3	-1	-3	-3	0	6	6
A	0	-2	-1	5	0	5	-3	0	-2	-1	-1
E	0	0	6	-1	-3	-1	-3	-3	0	6	6

23

FASTA

[Pearson and Lipman, 1988]

Example: BLOSUM50, linear gap penalty $d=8$, $seed_size=1$, $min_score=6$

4. Possibly add gaps

		H	E	A	G	A	W	G	H	E	E
	0	0	0	0	0	0	0	0	0	0	0
P	0	-2	-1	-1	-2	-1	-4	-2	-2	-1	-1
A	0	-2	-1	5	0	5	-3	0	-2	-1	-1
W	0	-3	-3	-3	-3	-3	15	-8	-3	-3	-3
H	0	10	0	-2	-2	-2	-3	-2	10	0	0
E	0	0	6	-1	-3	-1	-3	-3	0	6	6
A	0	-2	-1	5	0	5	-3	0	-2	-1	-1
E	0	0	6	-1	-3	-1	-3	-3	0	6	6

28